

Turnitin Originality Report

face_f_iasc_2011 by Ss Sagioglu



From yeni2014 (YENI)

- Processed on 10-Jan-2015 09:10 EET
- ID: 494229699
- Word Count: 3247

Similarity Index

56%

Similarity by Source

Internet Sources:

54%

Publications:

25%

Student Papers:

13%

sources:

- 1 30% match (Internet from 01-Aug-2010)
<http://journals.tubitak.gov.tr/elektrik/issues/elk-09-17-2/elk-17-2-6-0902-2.pdf>
- 2 9% match (Internet from 09-Sep-2010)
<http://www.mdpi.com/1424-8220/10/5/4206/pdf>
- 3 2% match (publications)
[Sagioglu, S.. "Modelling of the Linewidth Enhancement Factor with the Use of Radial Basis Function Network". AEUE - International Journal of Electronics and Communications, 2002](#)
- 4 2% match (Internet from 12-Oct-2010)
<http://www.cs.ru.ac.za/courses/Honours/mmcourse/security/biometrics/MSU-CSE-00-23.pdf>
- 5 1% match (Internet from 14-Dec-2009)
<http://www.jdl.ac.cn/peal/files/SMCA05-06-0208.R1.pdf>
- 6 1% match (Internet from 01-May-2011)
<http://poseidon.csd.auth.gr/papers/PUBLISHED/JOURNAL/pdf/TNN07-P1541.pdf>
- 7 1% match (Internet from 21-Apr-2014)
<http://business.highbeam.com/articles/409039/network-weekly-news/september-2011>
- 8 1% match (Internet from 10-Dec-2009)
<http://iee-ssci.org/index.php?q=node/52>
- 9 1% match (Internet from 14-Mar-2010)
<http://www.computer.org/portal/web/csdl/doi/10.1109/TPAMI.2002.1008383>
- 10 1% match (Internet from 12-Jul-2010)
http://atvs.ii.uam.es/files/2006_ICARCV_FingerInter_Alonso.pdf
- 11 1% match (Internet from 10-Jul-2010)
<http://www.scribd.com/doc/31114093/49-Paper-31031071-IJCSIS-Camera-Ready-Pp-318-324>
- 12 1% match (Internet from 13-Aug-2009)
http://atvs.ii.uam.es/files/2006_ICCST_HillClimbingAttackMoC_Martinez.pdf
- 13 1% match (Internet from 04-May-2013)
http://wacong.org/autosoft/auto/173Abstracts/04_Juang.pdf
- 14 1% match (Internet from 27-Nov-2008)
http://biometrics.cse.msu.edu/Publications/Fingerprint/MaranaJain_FpMatchingHough_SIBGRAPI05.pdf
- 15 1% match (Internet from 30-Sep-2011)
<http://users.ics.tkk.fi/ahonkela/papers/Honkela10MLSP.pdf>

- 16 < 1% match (Internet from 11-Oct-2010)
<http://users.utcluj.ro/~cviorica/>
- 17 < 1% match (Internet from 20-Dec-2007)
<http://chaos.utexas.edu/manuscripts/1063310872.pdf>
- 18 < 1% match (publications)
[Necla Ozkaya. "Translating the fingerprints to the faces: A new approach". 2008 IEEE 16th Signal Processing Communication and Applications Conference. 04/2008](#)
- 19 < 1% match ()
http://longwood.cs.ucf.edu/~vision/papers/Khurram_PAMI04.pdf
- 20 < 1% match (Internet from 18-Sep-2014)
<http://www.inthefaitth.com/2004/04/02/what-is-palm-sunday/>
- 21 < 1% match (Internet from 06-May-2014)
http://archive.org/stream/Technological_Developments_in_Education_and_Automation/Technological_Developments_in_Education_ar
- 22 < 1% match (Internet from 08-Oct-2012)
http://joam.inoe.ro/arihiva/pdf7_3/Celebi.pdf
- 23 < 1% match (Internet from 14-Oct-2010)
<http://cvit.iit.ac.in/thesis/VandanaMS2007/vandanaThesis2007.pdf>
- 24 < 1% match (Internet from 15-Aug-2009)
<http://www.ajronline.org/cgi/reprint/187/2/271.pdf>
- 25 < 1% match (publications)
[Arif Wani. "An Intelligent Automatic Fingerprint Recognition System Design". 2006 5th International Conference on Machine Learning and Applications \(ICMLA 06\). 12/2006](#)
- 26 < 1% match (Internet from 29-May-2014)
http://www.archive.org/stream/ljaetVolume2Issue1/Volume2Issue1_djvu.txt
- 27 < 1% match (student papers from 14-May-2004)
[Submitted to Georgetown University on 2004-5-14](#)
- 28 < 1% match (publications)
[Kerim Guney. "Generalized neural method to determine resonant frequencies of various microstrip antennas". International Journal of RF and Microwave Computer-Aided Engineering. 01/2002](#)

paper text:

13Intelligent Automation and Soft Computing, Vol. 17, No. 3, pp. 309-317, 2011
Copyright © 2011, TSI® Press Printed in the USA. All rights reserved

AN INTELLIGENT AND

8AUTOMATIC FACE SHAPE PREDICTION SYSTEM FROM FINGERPRINTS
SEREF SAGIROGLU AND NECLA OZKAYA Gazi University, Engineering and
Architecture Faculty Computer Engineering Department 06570 Ankara, Turkey
Erciyes University Engineering Faculty Computer Engineering Department
38030, Kayseri, Turkey

ss@gazi.edu.tr, neclaozkaya@erciyes.edu.tr ABSTRACT

7—This paper presents an intelligent system for generating face shapes from
only fingerprints without knowing any information about faces. The

7proposed system based on artificial neural network has got a

number of modules including two biometric data acquisition

1 modules, two feature extraction modules, an artificial neural network module, a face re-construction module and a test & evaluation module.

Experimental

1 results have shown that the faces can be successfully generated from only fingerprints.

Although the proposed system is an initial study, the performance of the system is very promising for the future developments. Key Words: Intelligent systems, biometrics, artificial neural networks. 1. INTRODUCTION Biometrics is a well known technology and deeply studied research field especially to support reliable personal identification systems. Recently, most of the works in this area have focused on improving the accuracy and processing time of the biometric-based systems. For achieve this improvement more effective, fast and robust techniques have been developed [1]. Obtaining

18 a biometric feature of a person from another biometric feature of the same person

is a challenging idea and it is a useful transformation for many applications. There has been no study on

1 investigating relationships among the biometric features or obtaining one feature from another except the

authors have recently reported in the articles [2]-[10] for the first time. The

1 authors proposed novel approaches for generating the face borders [2], the face contours including face border and ears [3], the face models including eyebrows, eyes and mouth [4], the inner face parts including eyes, nose and mouth [5], the face parts including eyes, nose, mouth and ears [6], the face models including eyes, nose, mouth, ears and face border [7], the face parts including eyebrows, eyes, nose, mouth and ears [8], only eyes [9] and the face parts including eyebrows, eyes and nose [10] from only fingerprints without any need for face information or images.

The results in the articles have clearly demonstrated

1 that an unknown biometric feature can be achieved from a known biometric feature.

309 Some biological and physiological evidences were motivated to us to investigate the relationships among fingerprints and faces. These evidences can be explained as follows:

1 It is known that the phenotype of the biological organism is uniquely determined by the interaction of a specific genotype and a specific environment [11]. Physical appearances of faces and fingerprints are also a part of an individual's phenotype.

4 In dermatoglyphics studies, the maximum generic difference in fingerprints has been found among individuals of different races [11]. Unrelated people of the same race have very little generic similarity in their fingerprints; parent and child have some generic similarity as they share half of the genes, siblings have more similarity and the maximum generic similarity is observed in the identical twins, which is the closest genetic relationship [12].

This similarity distribution is very similar for faces of the people. The general characteristics of fingerprints

and faces were determined by the genes [11]. These truths have indicated

1that there could be some relationships among biometrics. In order to investigate and support this assumption an intelligent face prediction system

from only fingerprints has been developed and introduced in this study. 2. OVERVIEW OF BIOMETRICS A biometric system operates its task

11by getting biometric data from a person, extracting a feature set from the acquired data and comparing this feature set against the template feature sets in the database

[13]. The most used biometric systems are Automatic Fingerprint Identification Systems (AFISs) and Automatic Face Recognition Systems (AFRSs). Good surveys about these techniques were given in [1] and [14], [15], respectively. This study focuses on fingerprints and faces (Fs&Fs). To acquire feature sets of Fs&Fs in the literature, feature-based approaches have been mostly used. In the feature-based AFISs,

1two important attributes including end points and bifurcations

were used [1]. Feature-based AFRSs mainly consist

1of three steps. These steps cover detection and localization of the faces,

feature extraction and finally recognition tasks [16]. Both fingerprint and face recognition processes are really complex and difficult tasks [1], [14] and [16]. Recently, multi-modal biometric systems (MMBS) have gained

1acceptance among designers due to their performance superiority over the uni-modal systems that have some limitations about accuracy, processing time and vulnerability to spoofing

[15].

1Detailed information about MMBSs can be found in [13]

and [17].

13. ARTIFICIAL NEURAL NETWORKS Artificial Neural Networks (ANNs) have been applied to solve many problems

[1],

25[14], [18]- [20]. Learning, generalization, less data requirement and fast computation

1features have made ANNs very attractive for applications [18]. These fascinating features have also made them popular in biometrics

[1]-[10], [14], [19] and [20]. Multilayered perceptron (MLP) structure was used in this study. The

22MLP consists of three layers: Input, output and hidden layers.

The

2neurons in the input layer can be treated as buffers and distribute x_i input signal to the neurons in the hidden layer. The output of the each neuron y_j in the hidden layer is obtained from sum of the multiplication of all input signals x_i and weights w_{ji} that follow all these input signals. The

2 outputs of the neurons in other layers are calculated in the same way. The weights are adapted with the help of a learning algorithm according to the error occurring in the calculation. The error can be calculated by subtracting the ANN output from the desired

output [18]. There have been many learning algorithms to train ANNs. The scaled conjugate gradient (SCG) algorithm is one of them. It is based on conjugate directions and adjusts the weights of ANNs [23].
4. AUTOMATIC FACE SHAPE PREDICTION SYSTEM FROM FINGERPRINTS Unlike to the previous studies [2]-[10], the proposed

1 ANN based intelligent system generates the face shape including eyes, mouth and face border of a person from only one fingerprint of the same person. The architecture of

the developed Fingerprint to Face Shape Generation System (FP2FSPS) covering main modules is given in Figure 1. Implementation steps of the FP2FSPS to establish a relationship among fingerprints and faces (Fs&Fs) can be mentioned as follows: 1. A real multi-modal database was established from Fs&Fs. 2.

1 Feature sets of Fs&Fs were obtained. 3. Training and test data sets were

established. 4. Suitable ANN structure and its optimal parameters were determined. The ANN structure is finally established. 5. ANN based FP2FSPS was trained to generate face shapes more realistically until achieving certain accuracy in learning. 6.

2 In order to test and evaluate the accuracy of the FP2FSPS, the test results were

compared against to a variety of state-of-the-art methods [1]. Biometric Data Acquisition Modules

1 Feature Extraction Modules ANN Module Test & Evaluation Module Face Reconstruction Module

Figure 1. Architecture of the FP2FSPS Biometric data acquisition

1 modules help to store biometric data of individuals into the system database.

1 A real multi-modal database that includes Fs&Fs belonging to 120 people was established.

Only a frontal face image and a fingerprint that was

1 index finger of the right hand were used in this study.

An example of biometric feature set in the database is given in Figure 2. Figure 2. An example for F&F set in the multimodal database The feature extraction modules extract the discriminative feature sets from the acquired biometric data.

1 Fingerprint feature sets were computed using a SDK developed by Neurotechnologija

[21]. The reason of this preference is to establish an objective assessment for face shape prediction via the FP2FSPS.

1 To obtain the feature sets of faces, a feature-based face feature extraction algorithm was borrowed from Cox et al. [22] and it was fundamentally modified and adapted to this application. In comparison to the approach proposed in [22], increasing the number of the reference points from 35 to

53 points

2helped to represent the faces more accurately and sensitively. In addition, face feature sets were shaped from x-y coordinates of the face reference points, not distances or average measures as in [22]. The

ANN module that was

1used to analyze the existence of any relationship among Fs&Fs was implemented with the

1help of 3-layered MLP structure that was trained with SCG algorithm. The SCG algorithm adjusts the

weights and biases of the ANN according to its learning strategy. The details of SCG algorithm was given in [23]. The

1ANN module is the most critical and important module of the system. Because, all other modules

1are on duty, either in pre-processing or post-processing of this main process. The

1training process is started with applying the feature sets of Fs&Fs to the system as inputs and outputs,

2respectively. The system achieves the training process with these feature sets according to the learning algorithm and the ANN parameters.

1Even if the feature sets of Fs&Fs are required in training, only fingerprint feature sets are used in test.

The

1outputs of the system for these unknown test data indicate the

2success and reliability of the system and it must be clearly shown by evaluating the ANN outputs against to the proper metrics.

The traditional metrics of an ordinary biometric system

2are no longer appropriate to characterize the performance of the

FP2FSPS. So, in addition to the ROC curve, the results of the system are evaluated by considering the following numerical metrics:

1mean squared error (MSE), sum squared error (SSE), mean absolute error (MAE), absolute percentage error (APE) and Mean APE.

APE is the measure of accuracy of the system as a percentage for a test face. MAPE shows mean APE that is

1average of the absolute percentage errors per each coordinate of the feature sets of the faces.

Similarly,

1MAE is an average of the absolute errors per each coordinate of the feature sets of the faces.

These metrics were explained in [24].

1To evaluate the system results comprehensively a visual evaluation platform was also created by drawing the ANN outputs and their desired outputs in the same page as overlapped. In order to achieve the visual evaluation effectively, a face re-construction module was developed

1to convert the ANN outputs and desired outputs to visual face

shapes.

1Consequently, for a more objective comparison, the performance and accuracy of the system have been evaluated and presented on the basis of the combination of these metrics for illustrating the qualitative properties of the proposed methods as well as a quantitative evaluation of their performances.

5. EXPERIMENTAL RESULTS The proposed FP2FSPS discussed in previous section was implemented to conduct the experiments efficiently. The dedicated and developed software supplies

1all of the system parts to be controlled properly. The

experimental data

1sets used in the test contain only feature sets of fingerprints of the test people.

The face feature sets of these people were never used in training processes of ANN. They were used for evaluation of the system performance. The

1inputs and the outputs of the system were vectors sized 298 and 106, respectively. Producing the faces as close to the real one as possible is critical for this study.

The metrics MSE, SSE, mean APE, mean MAE and mean MAPE were 0.00044, 1.90770, 4.29903, 0.01572 and 0.04056, respectively. The

23ROC curve of the test results is given in Figure 3. ROC

1 0.8 0.6 TPR 0.4 0.2 0 0.2 0.4 0.6 0.8 1 FPR Figure 3. ROC curve of the test results

24(TPR: True Positive Rate, FPR: False Positive Rate) According to

the test results the

2proposed system performs the tasks with high similarity measures to the desired values. For the

purpose of more realistic and visual evaluation, all of achieved

1test results and desired values of them have been drawn on the same platform as shown in Figure

4. Dark continuous

2and light dashed lines in the figure represent the desired and the generated face features, respectively.

In addition, to show the overall system performance graphically,

1APE, MAE and MAPE values belonging to all test results

were demonstrated in Figure 5. Based on the results and observations, the presented FP2FSPS can be used as an intelligent model to predict face shapes from fingerprints, effectively. 6.

21CONCLUSION AND FUTURE WORKS The principal objective of this paper is to generate automatically the

2face shapes including eyes, mouth and face border

from only fingerprints with high accuracy. This article successfully presents an approach to predict face shapes from only fingerprints. The relationships among biometrics and achieving

1an unknown biometric feature from a known biometric feature

are also experimentally shown in the proposed study. When each of the results was visually elaborated, it is very clear to see that there are very close matches among ANN outputs and their desired values. The results presented in this work reports that there are more than twelve close matches considering mouths and face borders and also more than fifteen close matches at eyes. The experimental results provided very encouraging and successful results in achieving the face shapes from fingerprints automatically. These results

1 confirmed once more that there are close relationships among Fs&Fs. It is expected that this study will lead to create new concepts, research areas, and especially new applications in the field of biometrics and forensics.

In future studies,

2investigations will be conducted to enhance the face generation

processes. It is also studied on modeling the relationships among Fs&Fs to prove not only experimentally but also mathematically. In addition, the performance and accuracy of the system should be shown by using a larger multi-modal database including biometric features of people from different countries. Figure 4. Representing the test faces achieved from the FP2FSPS and their desired values. 7.

2ACKNOWLEDGEMENTS The work in the paper is supported by Erciyes University Scientific Research Projects (EUBAP) fund with project code: FBD-09-841.

The

26authors would like to thank to the EUBAP for their support.

(a) APE Values (b) MAE and MAPE Values Figure 5. Error values for generated faces.

14REFERENCES 1. D. Maio, D. Maltoni, A.K. Jain, and S. Prabhakar, "Handbook of fingerprint recognition," Springer-Verlag, New York, 2003. 2. N. Ozkaya and

S. Sagioglu,

1"Intelligent Face Border Generation System from Fingerprints" IEEE

International Conference on Fuzzy Systems (FUZZ-IEEE),

ISBN: 978- 1-4244-1819-0, 2008. 3. S. Sagioglu and N. Ozkaya,

1“An Intelligent Automatic Face Contour Prediction System,” Advances in Artificial Intelligence, The 21. Canadian Conference on Artificial Intelligence,

16(LNCS), Springer Berlin / Heidelberg, ISBN 978-3-540-

68821-1, Vol: 5032/2008, 246- 258, 2008. 4. S. Sagioglu and N. Ozkaya,

1“An Intelligent Automatic Face Model Prediction System,” International Conference on Multivariate Statistical Modelling & High Dimensional Data Mining (HDM 2008),

2008. 5. N. Ozkaya and S. Sagioglu,

1“Intelligent Face Mask Prediction System,” International Joint Conference on Neural Networks (IJCNN),

ISBN: 978-1-4244-1821-3, 2008. 6. N. Ozkaya and S. Sagioglu,

1“Translating the Fingerprints to the Faces: A New Approach,” IEEE 16th Signal Processing, Communication and Applications Conference (Siu 2008),

1ISBN: 978-1-4244-1999-9, Library of Congress: 2007943521,

2008. 7. S. Sagioglu and N. Ozkaya,

1“Artificial Neural Network Based Automatic Face Model Generation System from Only One Fingerprint,” The Third International Workshop on Artificial Neural Networks in Pattern Recognition (ANNPR),

16(LNCS), Springer Berlin / Heidelberg, Vol. 5064, ISBN: 978-3-540-

69938-5, 305-316, 2008. 8. N. Ozkaya and S. Sagioglu,

1“Face Recognition from Fingerprints,” Journal of the Faculty of Engineering and Architecture of Gazi University, Vol. 23, No. 4,

2008. (In Turkish). 9. S. Sagioglu and N. Ozkaya,

1“An Intelligent and Automatic Eye Generation System from Only Fingerprints,” Proceedings of Information Security and Cryptology Conference with International participant (ISC),

ISBN: 978-9944-0189-1-3, 231-236, 2008. 10. S. Sagioglu and N. Ozkaya,

1“Artificial Neural Network Based Automatic Face Parts Prediction System from Only Fingerprints,” IEEE Workshop on Computational Intelligence in Biometrics: Theory, Algorithms, and Applications, IEEE SSCI, 2009.

2711. A. Jain, S. Prabhakar, and S. Pankanti,

1"On the Similarity of Identical Twin Fingerprints," *Pattern Recognition* 35 (11), 2653–2663, 2002. 12. H. Cummins and C. Midlo, "Fingerprints, Palms and Soles: An Introduction to Dermatoglyphics," Dover Publications Inc., New York, 1961. 13. A. K. Jain, A.

12Ross, and S. Prabhakar, "An Introduction to Biometric Recognition," *IEEE Trans. on Circuits and Systems for Video Technology*, Vol. 14, No. 1, pp. 4- 19, 2004.

14.

5M.H. Yang, D.J. Kriegman, and N. Ahuja, "Detecting Faces in Images: A Survey," *IEEE Trans. on Pattern Analysis and Machine Intelligence*, vol. 24, No. 1, pp. 34-58, 2002. 15. W. Zhao, R. Chellappa, P .J. Phillips, and A. Rosenfel, "Face recognition: A Literature survey," *ACM Computing Surveys*, vol. 35, pp. 399-459, 2003.

16.

6H. Cevikalp, M. Neamtu, M. Wilkes, and A. Barkana, "Discriminative Common Vectors for Face Recognition," *IEEE Transactions on Pattern Analysis and Machine Intelligence*, vol. 27, no 1. pp. 4-13, 2005. 17. J. Fierrez-Aguilar, J.

10Ortega-Garcia, J. Gonzalez-Rodriguez, and J. Bigun, "Discriminative Multimodal Biometric Authentication Based on Quality Measures," *Pattern Recognition*, vol. 38, no. 5, pp. 777–779, 2005.

1718. S. Haykin, "Neural Networks: A Comprehensive Foundation," Macmillan College Publishing Company, New York, 1994. 19. V.K. Sagar and

1K.J.A. Beng, "Hybrid Fuzzy Logic and Neural Network Model for Fingerprint Minutiae Extraction," *International Joint Conference on Neural Networks*, pp. 3255 -3259, 1999. 20. K.A. Nagaty, "Fingerprints Classification Using Artificial Neural Networks: A Combined Structural and Statistical Approach," *Neural Networks*, Vol.14 pp. 1293-1305, 2001.

21.

1Biometrical and Artificial intelligence Technologies, http://www.neurotechnologija.com/vf_sdk.html, 2008.

922. I.J. Cox, J. Ghosn, and P.N. Yianilos, "Feature-Based Face Recognition Using Mixture Distance," *Computer Vision and Pattern Recognition*, pp. 209-216, 1996. 23.

15M.F. Moller, "A Scaled Conjugate Gradient Algorithm. For Fast Supervised Learning," *Neural Networks*, no. 6, pp. 525-533, 1993.

24.

20The free Encyclopedia, http://en.wikipedia.org/wiki/Main_Page ABOUT THE

AUTHORS S. Sagiroglu

3received the BS degree in electronic engineering from Erciyes University, Kayseri, Turkey, in 1987. He received the PhD degree in system engineering from University of Wales College of Cardiff, UK, in 1994. From 1996 to 2008 he was an assistant and associate professor and now is the professor and head of department at the Department of Computer Engineering, Gazi University, Ankara, Turkey. His research interests include

biometrics, information and computer security, web-based applications, modern heuristic optimization techniques (genetic

3algorithms and tabu searches), neural networks, Fuzzy logic, intelligent antenna design, intelligent modeling and control, and robotics.

N. Ozkaya received her MSc

19and PhD degrees in computer engineering department from Erciyes University, Kayseri, Turkey, in 2003 and 2009, respectively.

Her research interests include biometrics,

28artificial neural networks and intelligent system modeling.

Downloaded by [Orta Dogu Teknik Universitesi] at 22:25 09 January 2015 Downloaded by [Orta Dogu Teknik Universitesi] at 22:25 09 January 2015 Downloaded by [Orta Dogu Teknik Universitesi] at 22:25 09 January 2015 Downloaded by [Orta Dogu Teknik Universitesi] at 22:25 09 January 2015 Downloaded by [Orta Dogu Teknik Universitesi] at 22:25 09 January 2015 Downloaded by [Orta Dogu Teknik Universitesi] at 22:25 09 January 2015 Downloaded by [Orta Dogu Teknik Universitesi] at 22:25 09 January 2015 Downloaded by [Orta Dogu Teknik Universitesi] at 22:25 09 January 2015 Downloaded by [Orta Dogu Teknik Universitesi] at 22:25 09 January 2015 Downloaded by [Orta Dogu Teknik Universitesi] at 22:25 09 January 2015 Downloaded by [Orta Dogu Teknik Universitesi] at 22:25 09 January 2015 Downloaded by [Orta Dogu Teknik Universitesi] at 22:25 09 January 2015 310 Intelligent Automation and Soft Computing

2An Intelligent and Automatic Face Shape Prediction System from Fingerprints 311 312 Intelligent Automation and Soft Computing

2An Intelligent and Automatic Face Shape Prediction System from Fingerprints 313 314 Intelligent Automation and Soft Computing

2An Intelligent and Automatic Face Shape Prediction System from Fingerprints 315 316 Intelligent Automation and Soft Computing

2An Intelligent and Automatic Face Shape Prediction System from Fingerprints